

DWSRF and Capacity Building in Action: Strategic Planning for Prioritizing and Funding Water Infrastructure Projects

November 3rd , 2021





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Welcome!



All attendees are in listen-only mode. Please do not unmute yourself during the presentation.



We will be recording this webinar. Please do not turn on your video during the presentation.



A copy of the slides is attached in the chat. The recording will be posted and a link emailed to all registered attendees 1-2 weeks after the webinar.

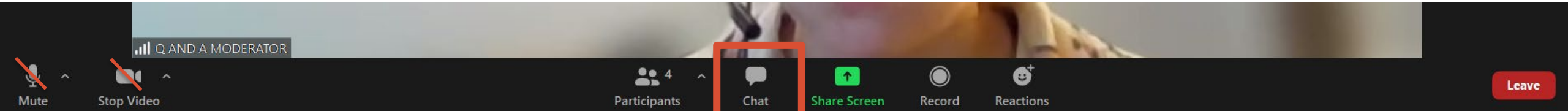


Check out <https://www.epa.gov/dwreginfo/drinking-water-training> for more drinking water webinars and trainings.



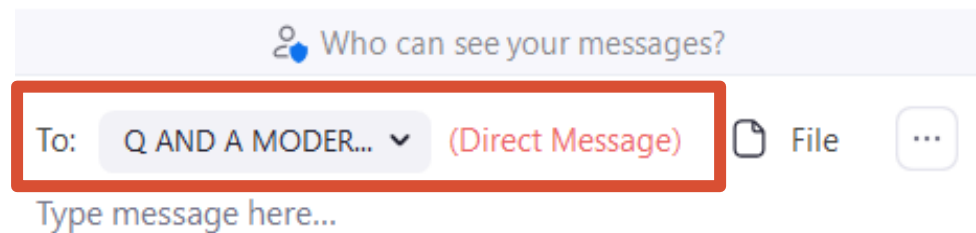
We encourage attendees to ask questions throughout the presentation by using the chat feature.

DIRECT YOUR QUESTIONS TO "Q AND A MODERATOR"



Chat box will pop up. Type in your questions at the bottom.

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Learning Objectives

- Introduction to Strategic Planning and DWSRF Set-Asides Eligibilities
 - Alison Flenniken, EPA HQ*
 - Kiri Anderer, EPA HQ*
- State Examples:
 - Arkansas' Water System Mapping Project
 - Teresa Lee, Arkansas Department of Health*
 - Virginia's Planning and Design Grants
 - Barry Matthews, Virginia Department of Health*
- Q&A at the end of the presentation.

What's a Strategy?



Why are we talking about this?

Significant Increases in Water Infrastructure Investment

- America's Rescue Plan Act (ARPA)
 - COVID relief bill – funds *can be* used for water infrastructure
 - Currently aligns with eligibilities of DWSRF and CWSRF
 - Several states have already appropriated funding for water and wastewater uses
- Infrastructure Investment and Jobs Act (aka "Infrastructure Bill")
 - Up to \$55 Billion for modernizing drinking water, wastewater, and storm water systems
 - Focus on lead service lines, PFAS and other emerging contaminants
 - Re-authorization for DWSRF over the next 5 years significantly increased
 - Note: This proposed bill is still in Congress

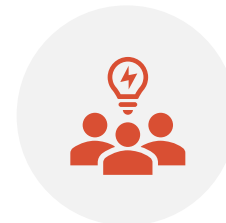


The conversation of strategic planning is always relevant.

Benefits of Strategic Planning



Identifies strengths and gaps that can be addressed



Enhanced communication, collaboration, and coordination with stakeholders



Known system needs lead to stronger justification in providing financial and technical assistance



Better prepared and positioned to respond to new regulations and emergencies



Increase Capacity, Improve Compliance



Creating an equitable future to ensure all communities have access to clean, safe drinking water

An iceberg floating in the ocean. The tip of the iceberg is above the water surface, and the much larger, jagged base is submerged below. The sky is blue with some clouds, and the water is a deep blue. The word 'RESULTS' is written in large, white, bold letters above the water line, and 'STRATEGY' is written in large, white, bold letters below the water line.

RESULTS

- Observable Behaviors and Practices
- Level of Customer Service
- Condition and Reliability of Drinking Water
- Compliance

STRATEGY

- Capacity Development
- DWSRF
- Operator Certification
- Sanitary Surveys
- Water System Partnerships
- Workforce Development
- Enforcement
- Source Water Protection
- Water Security
- SDWIS
- Technical Assistance
- And So On...

What Does This Mean For States?

- Can be proactively helping water systems now
- Should be focused on strategic planning
- Developing a pipeline of projects
- Utilizing DWSRF funding to full capability



Actions That Can Help



- Updating the State's Capacity Development Strategies
- Conducting Water System Capacity Assessments
- Providing Training to Water Systems
- Developing Water System Mapping
- Creating Asset Inventories
 - Including locating lead service lines
- Reviewing Rate Setting Structures
- Assisting Water Systems Apply for Funding
- Planning and Design Assistance
- Conducting Water and Energy Audits
- Conducting Leak Detection Studies
- Developing Pipe Condition Assessments
- Evaluating Alternatives to Address Emerging Contaminants
- Updating Source Water Assessments
- Preparing Vulnerability/Risk Assessments

DWSRF Loan Eligibilities



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DWSRF Set-Asides Eligibilities



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Water System Mapping Project

Engineering Section
Arkansas Department of Health

Teresa Lee

November 3, 2021



Mapping Project

Purpose:

To help small public water systems develop the ability to provide sustainable infrastructure by developing a long-term mapping plan to locate and identify key infrastructure assets with current GIS and GPS resources.

Program lasted from SFYs 2009 through 2018
(3 individual contracts – same contractor)

Mapping Project

Many transitions along the way

- Began after Katrina
- Tried to target systems with very small populations
- Required the system to have a computer

Most systems didn't have full-time operators

Most very small systems didn't have computers

Mapping Project

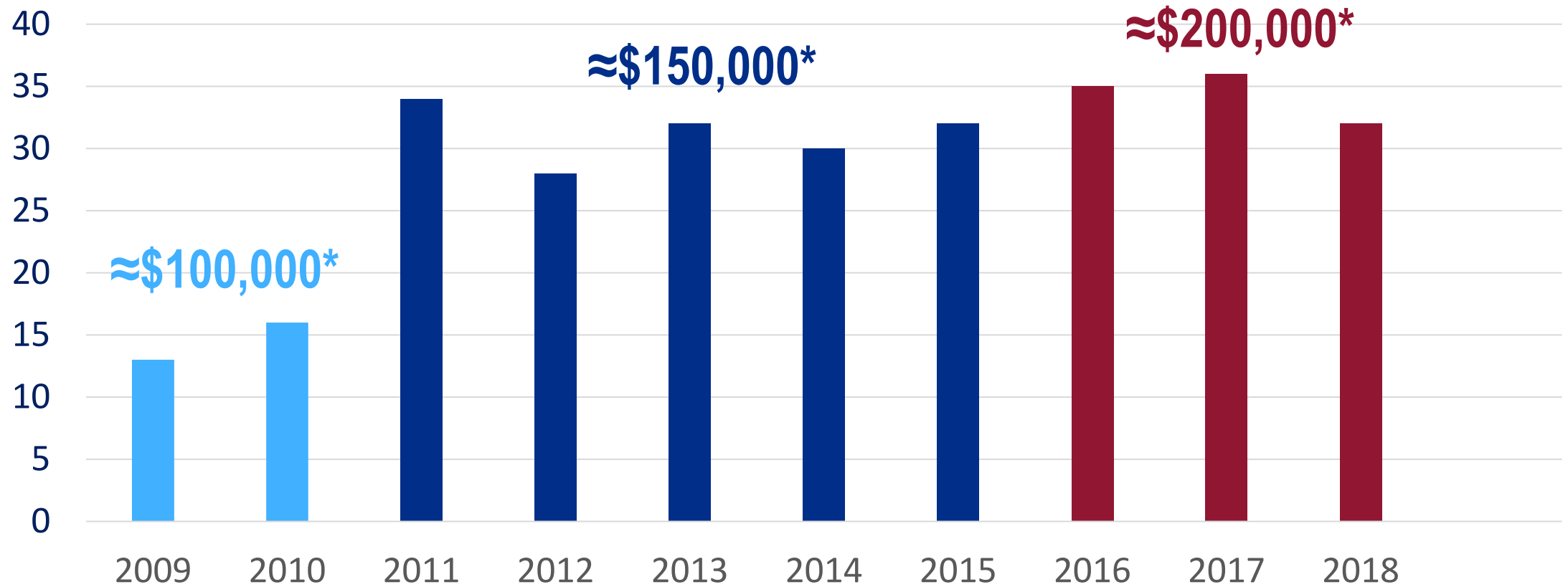
Second Contract –

- Offered the project to larger systems, but < 3,300
- Realized we also needed the data
- Realized most systems wanted hard copies of maps

Third Contract –

- Mapped more rural systems
- Transitioned to Google Earth for more “techy” operators
- Continued to collect data for our state program

Number of Systems Mapped



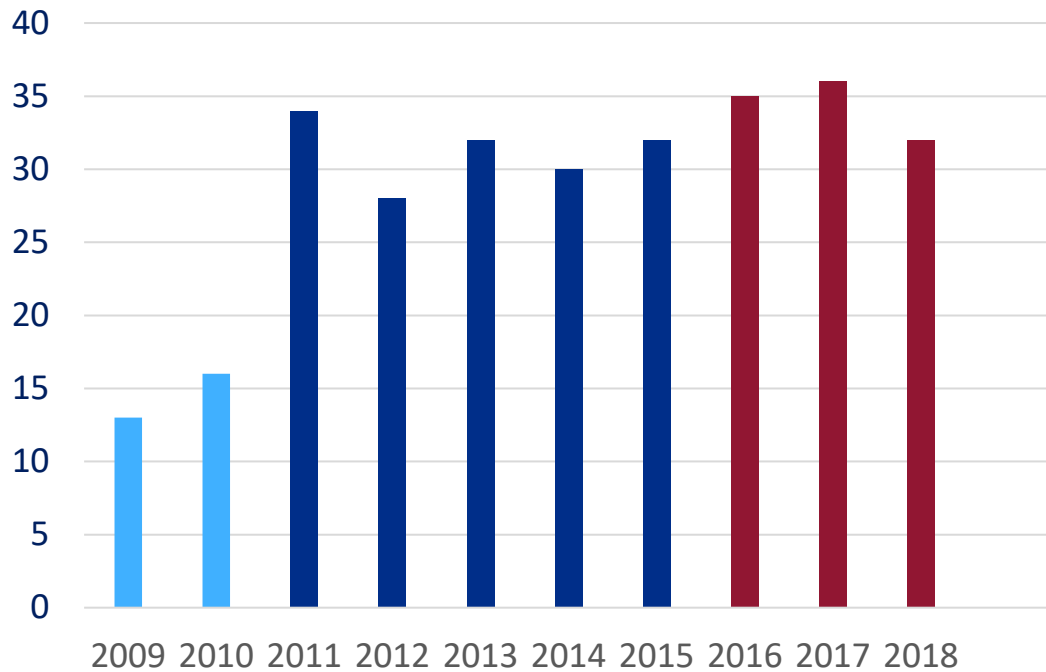
*Cost per year



Project Statistics



Number of Systems Mapped

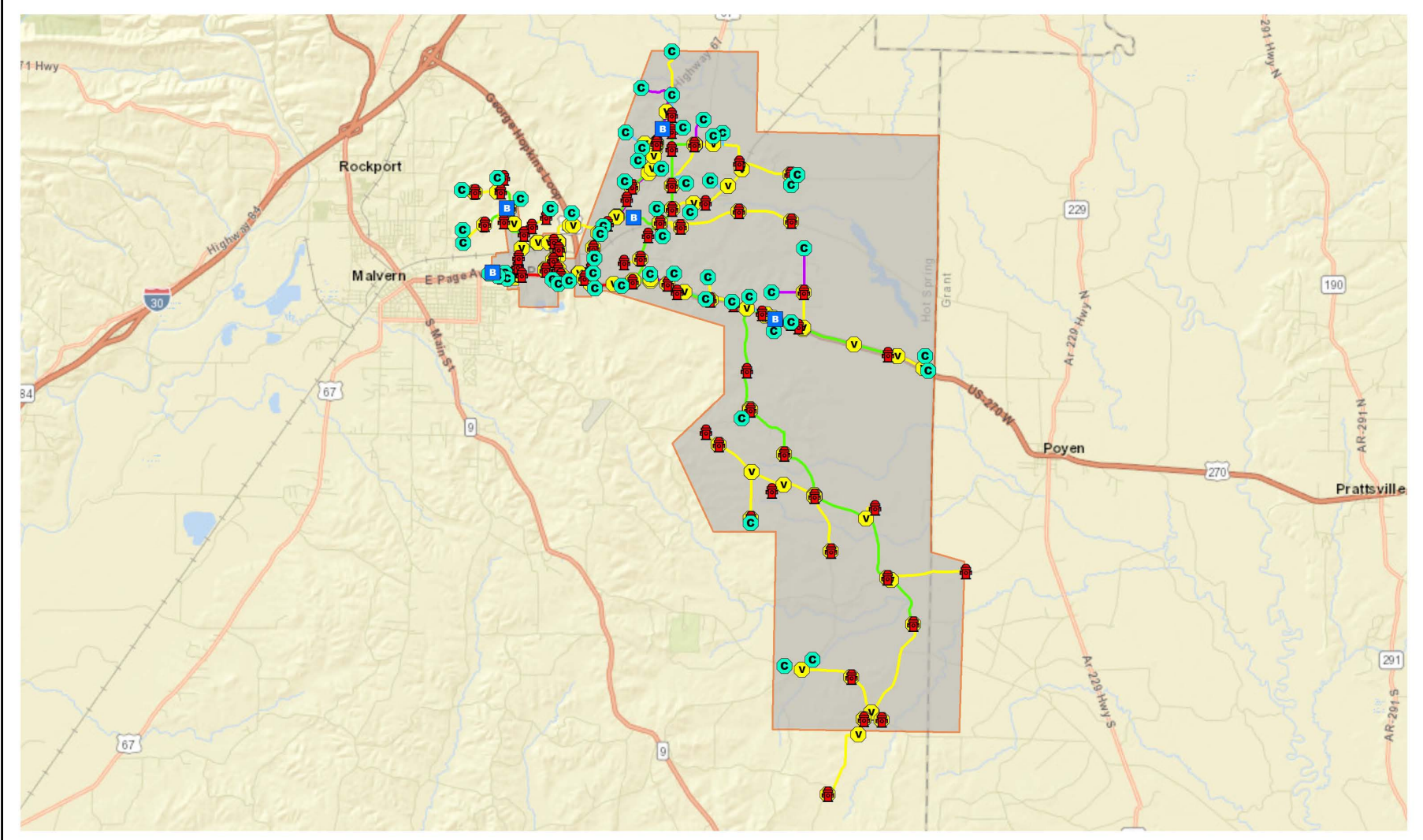















- Project lasted 10 years
- 288 systems mapped
- Total cost \$1,472,800
- \$5,100 ave. cost/system

Equipment/Software



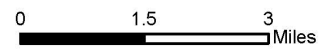
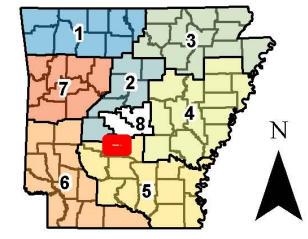
- Trimble Geo7x handheld device (submeter accuracy)
- Trimble Pathfinder Office
- ESRI ArcMap – digitizing
- ESRI ArcReader - installing on water systems' computers
- Google Earth – For KMZ or Google Earth files on users' computers or phones

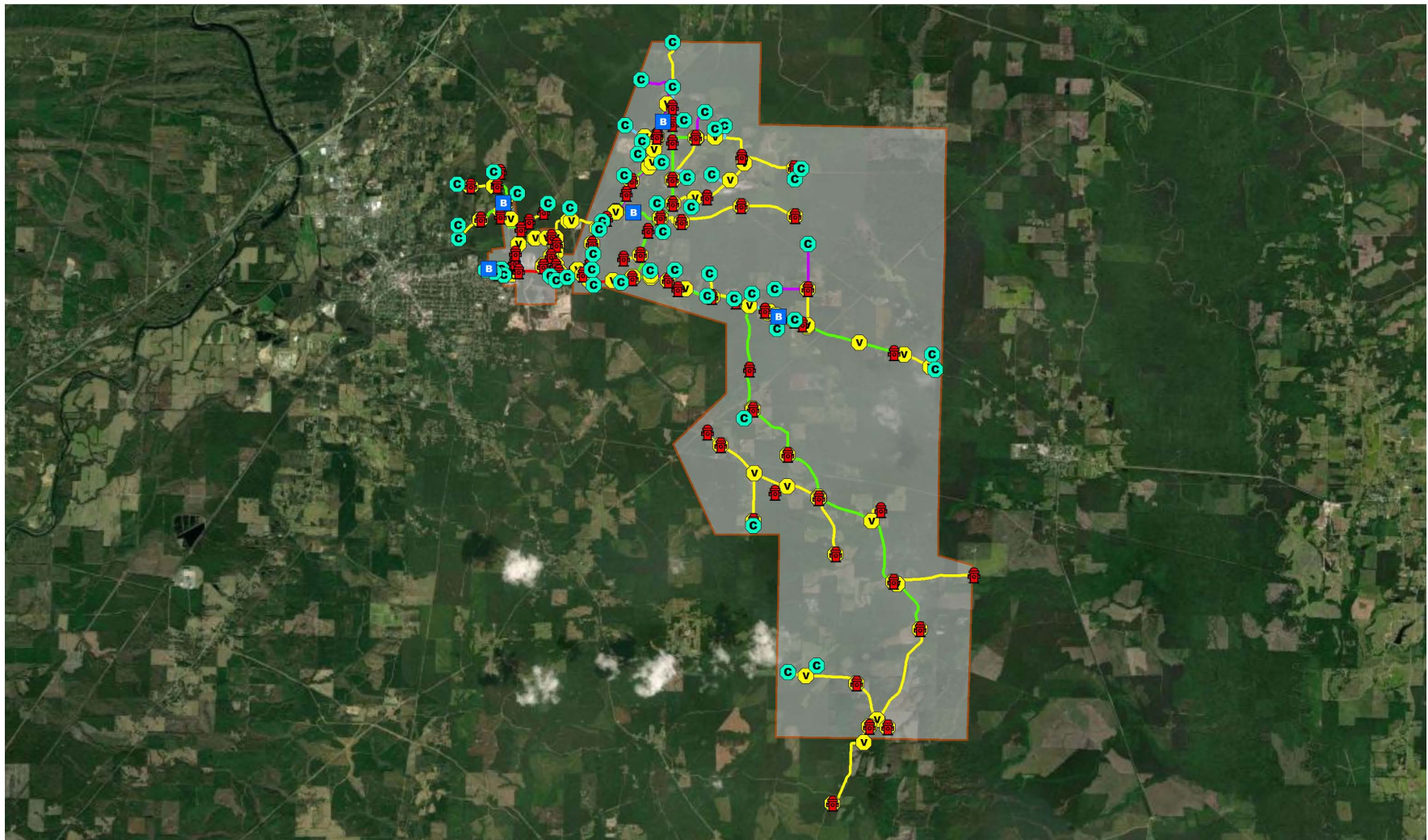















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|--|---|
|  BACTI | Main Diameter Size |
|  Blow Off |  2" |
|  Hydrant |  3" |
|  Master Meter |  4" |
|  Office |  6" |
|  System Valve |  8" |
|  Perla Water Service Area |  10" |

623 Perla Water Association Water Distribution Map

Created: 7/13/21

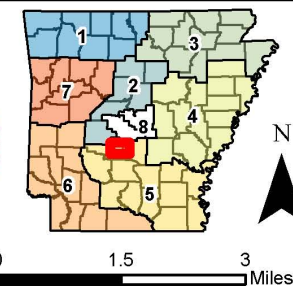


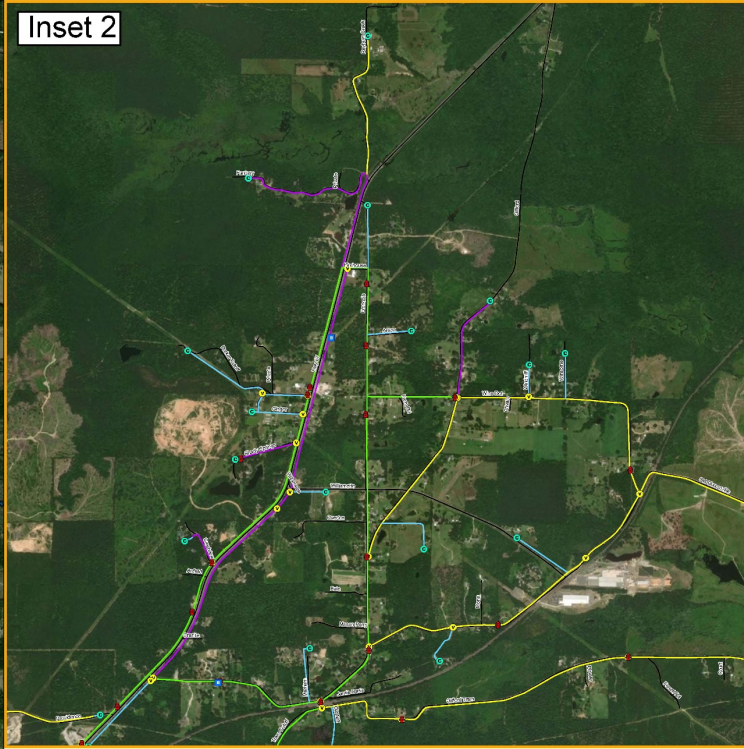
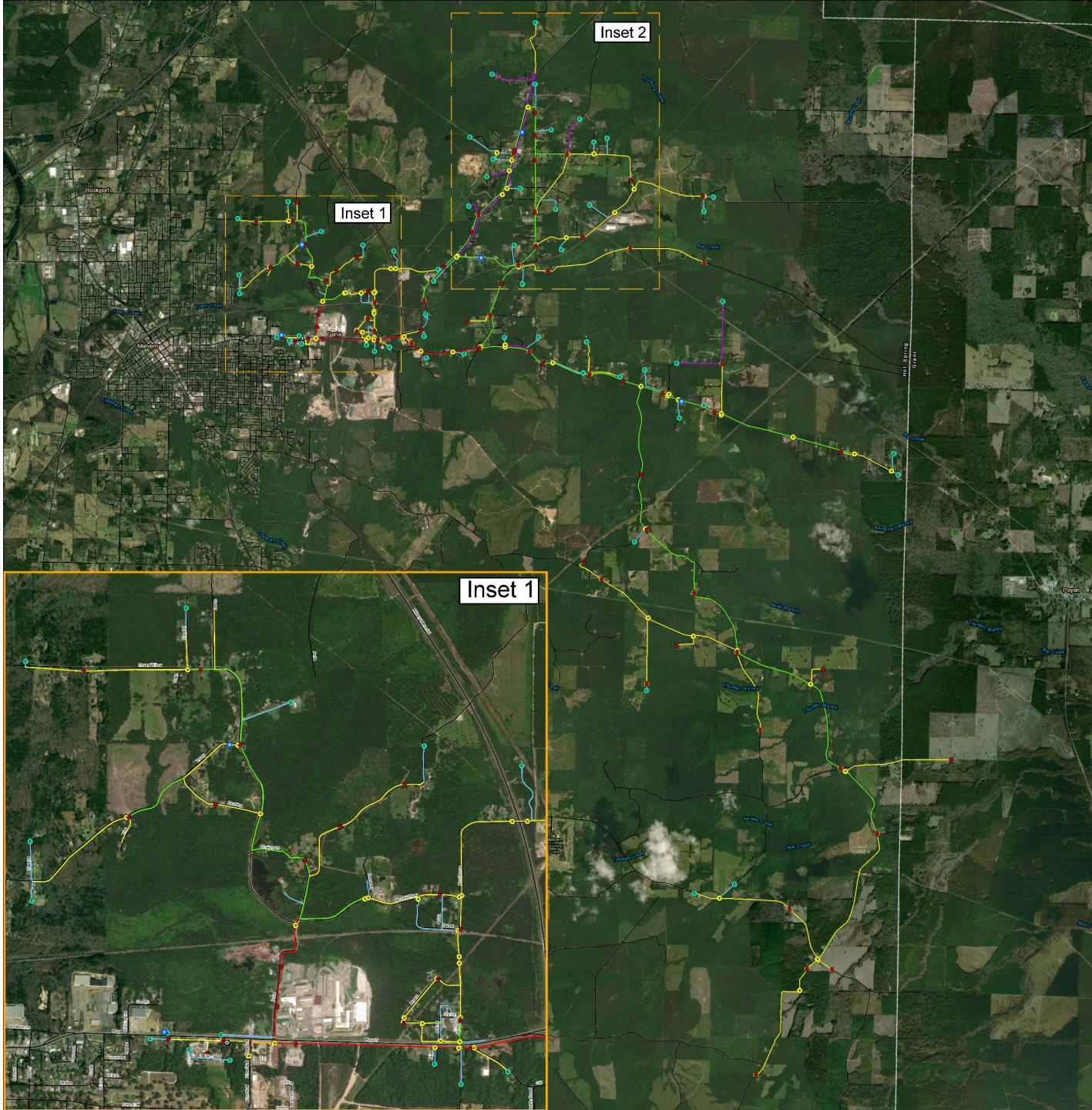


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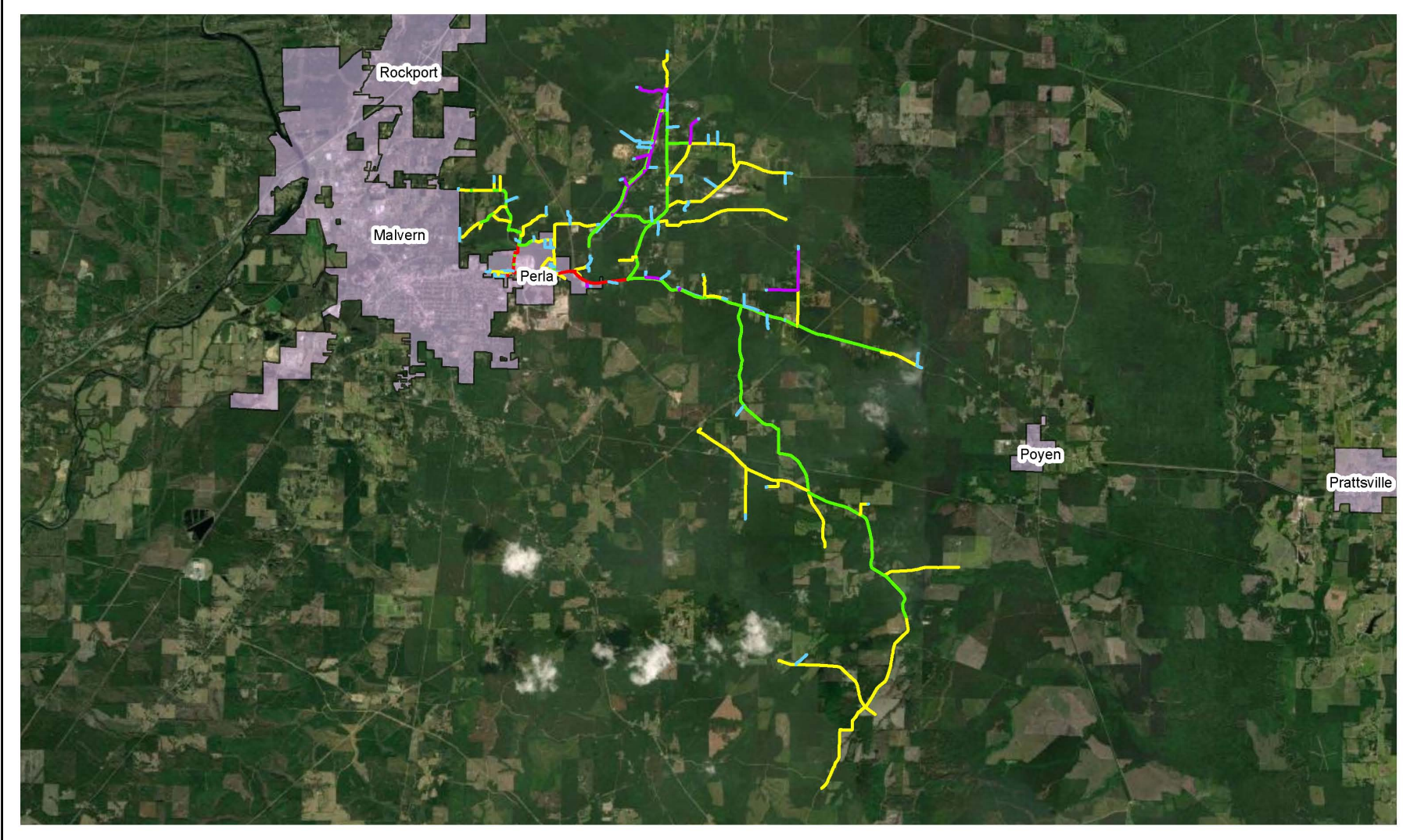




623 Perla Water Association

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| | Roads | 10" |

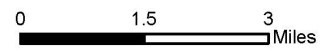
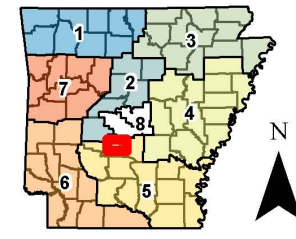


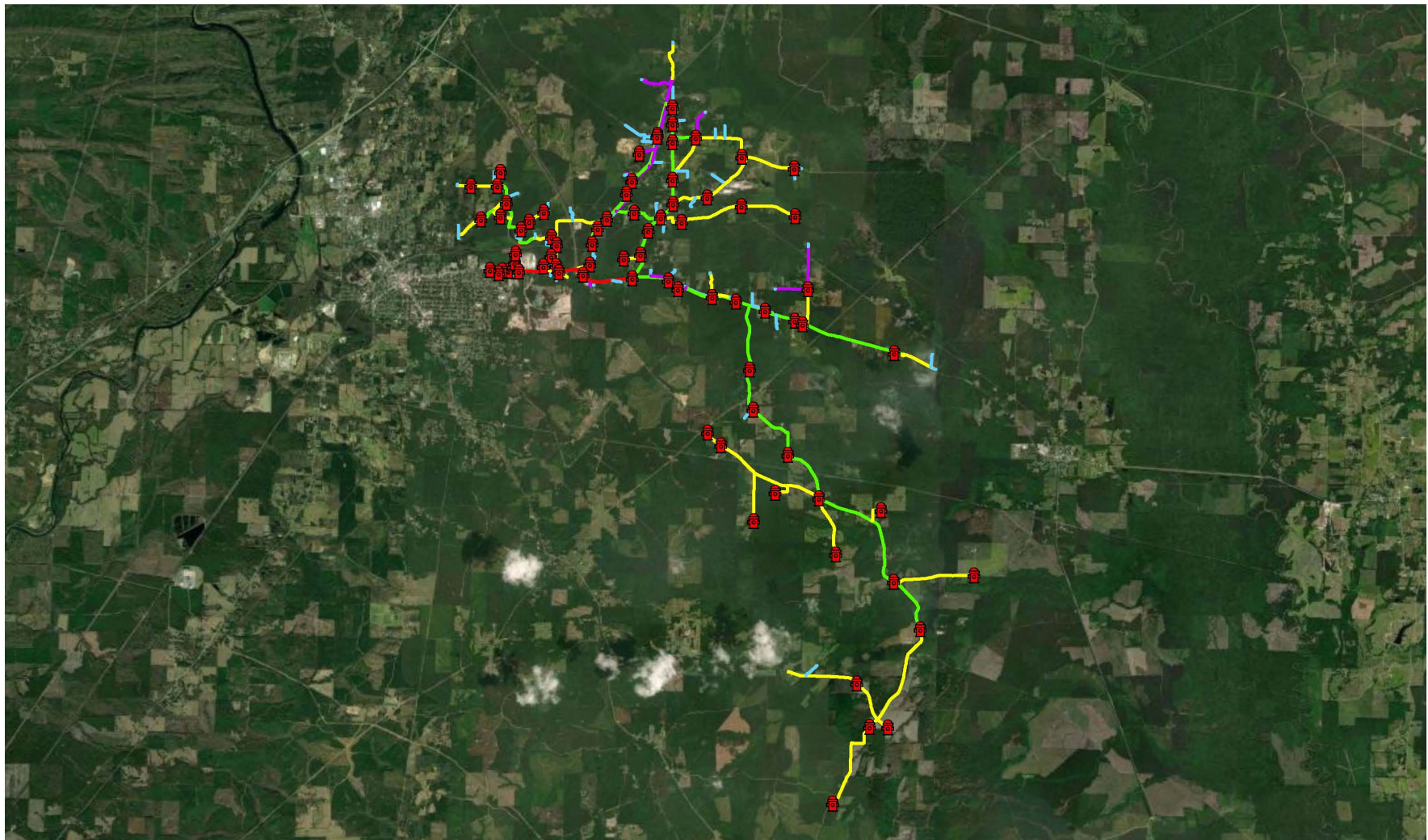


- Main Diameter Size**
- 2"
 - 3"
 - 4"
 - 6"
 - 8"
 - 10"
 - Municipal Boundaries

623 Perla Water Association Water Distribution Map


Created: 7/13/21





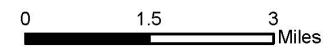
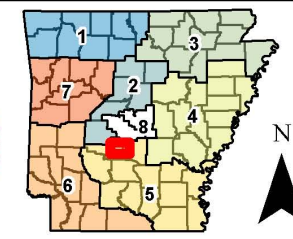
Main Diameter Size

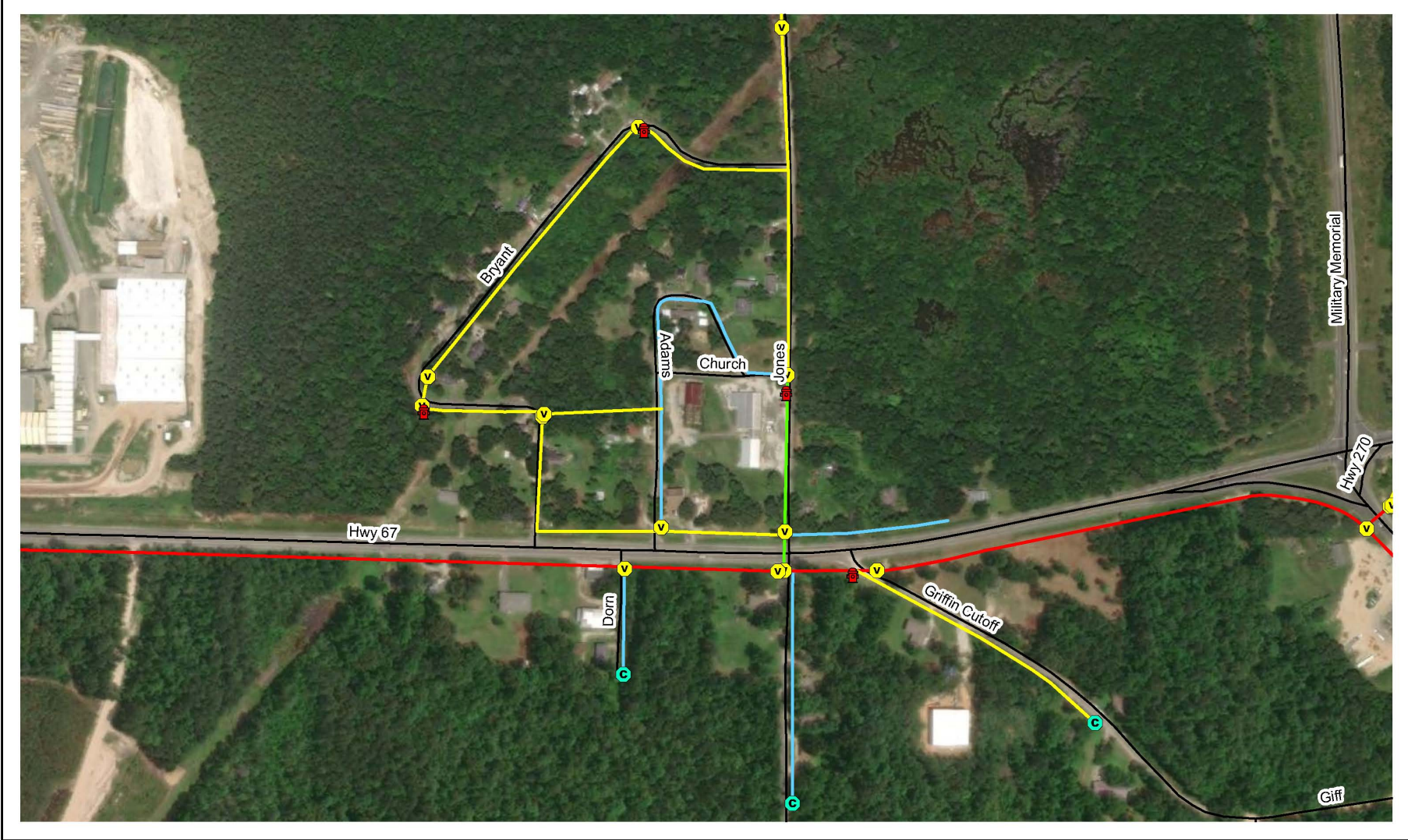
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- 10"













 Hydrant

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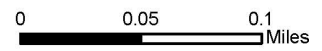
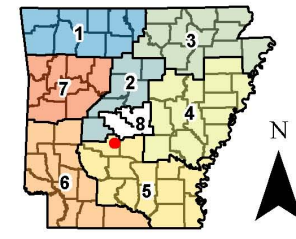


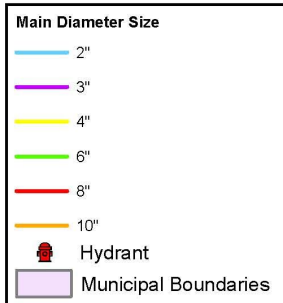
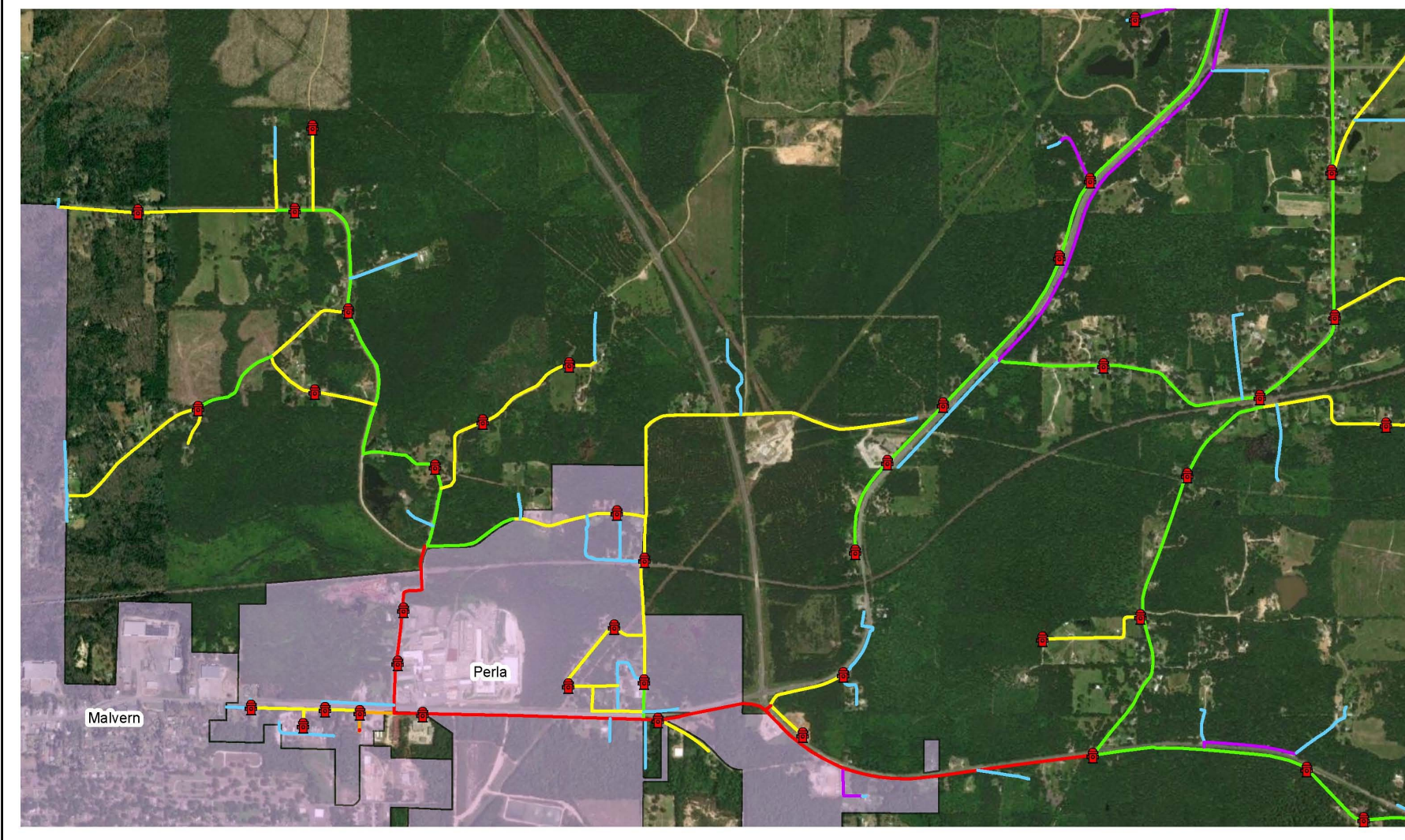


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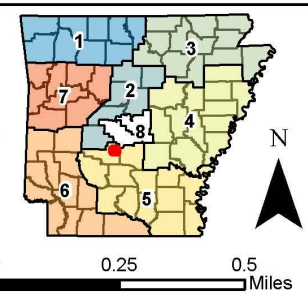
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623 Perla Water Association Water Distribution Map

Created: 7/13/21



Search

Search

ex: 37.407229, -122.107162
Get Directions History

Places

- My Places
 - Sightseeing Tour
 - Make sure 3D Buildings layer is checked
 - 623_Perla_Water_Association
 - OFFICE
 - Perla Water Association**
 - MASTER METER
 - Master Meter
 - HYDRANT
 - CONTROL VALVE
 - BACTI
 - Perla Water Association
 - Perla Water Association
 - Perla Water Association
 - Perla Water Association
 - Perla Water Association
 - SYSTEM VALVE
 - MAIN
 - Temporary Places

Layers

- Primary Database
 - Announcements
 - Borders and Labels
 - Places
 - Photos
 - Roads and Transportation
 - 3D Buildings
 - Weather
 - Gallery
 - More
 - Terrain



Data SIO, NOAA, U.S. Navy, NGA, GEBCO
Image Landsat / Copernicus
Image IBCAO
Image U.S. Geological Survey

Google Earth

33°44'38.38" N 88°31'50.21" W eye alt 6663.34 mi

Search

Search

ex: NYC

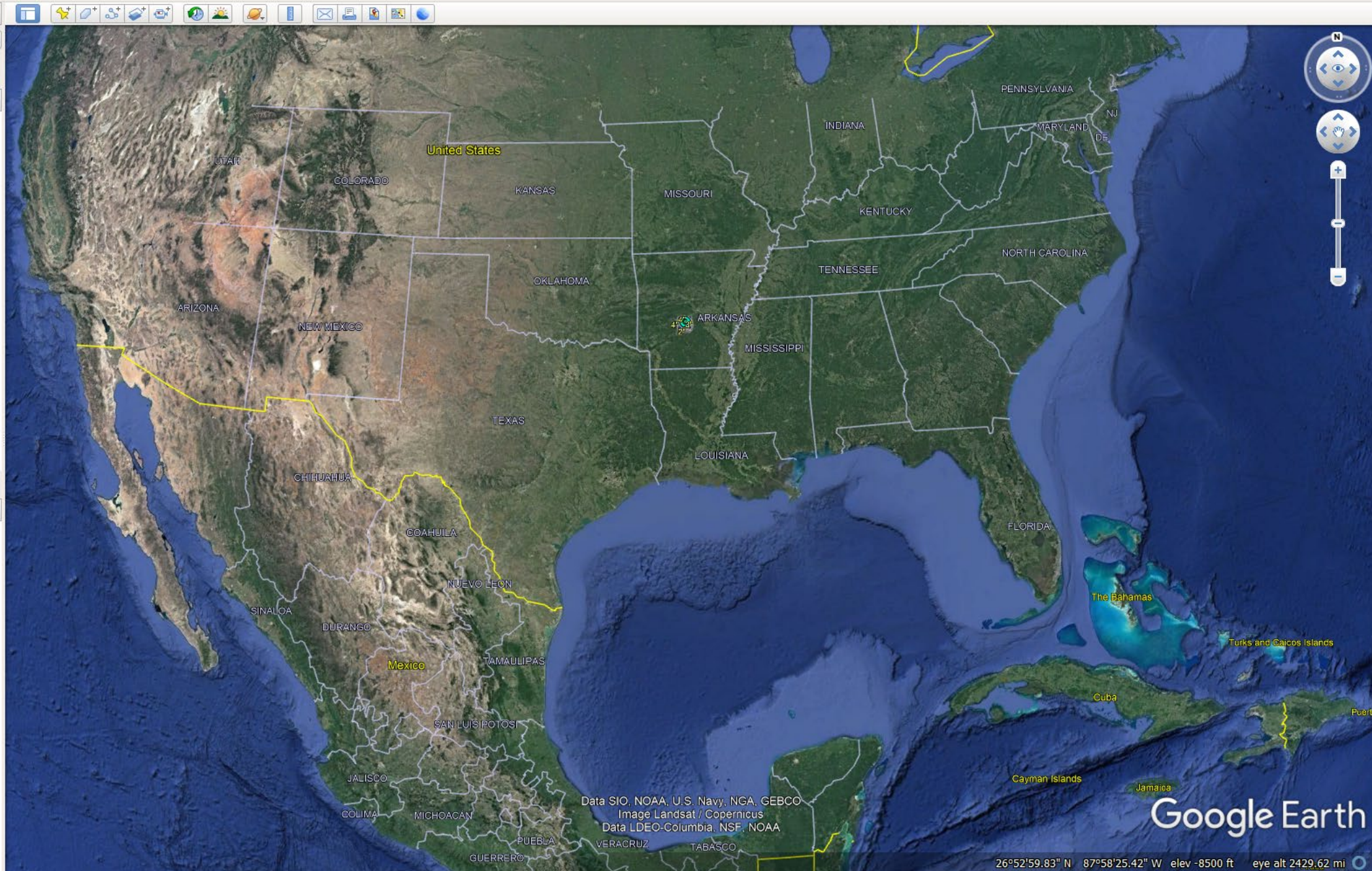
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Data SIO, NOAA, U.S. Navy, NGA, GEBCO
 Image Landsat / Copernicus
 Data LDEO-Columbia, NSF, NOAA

Google Earth

26°52'59.83" N 87°58'25.42" W elev -8500 ft eye alt 2429.62 mi

Search

Search

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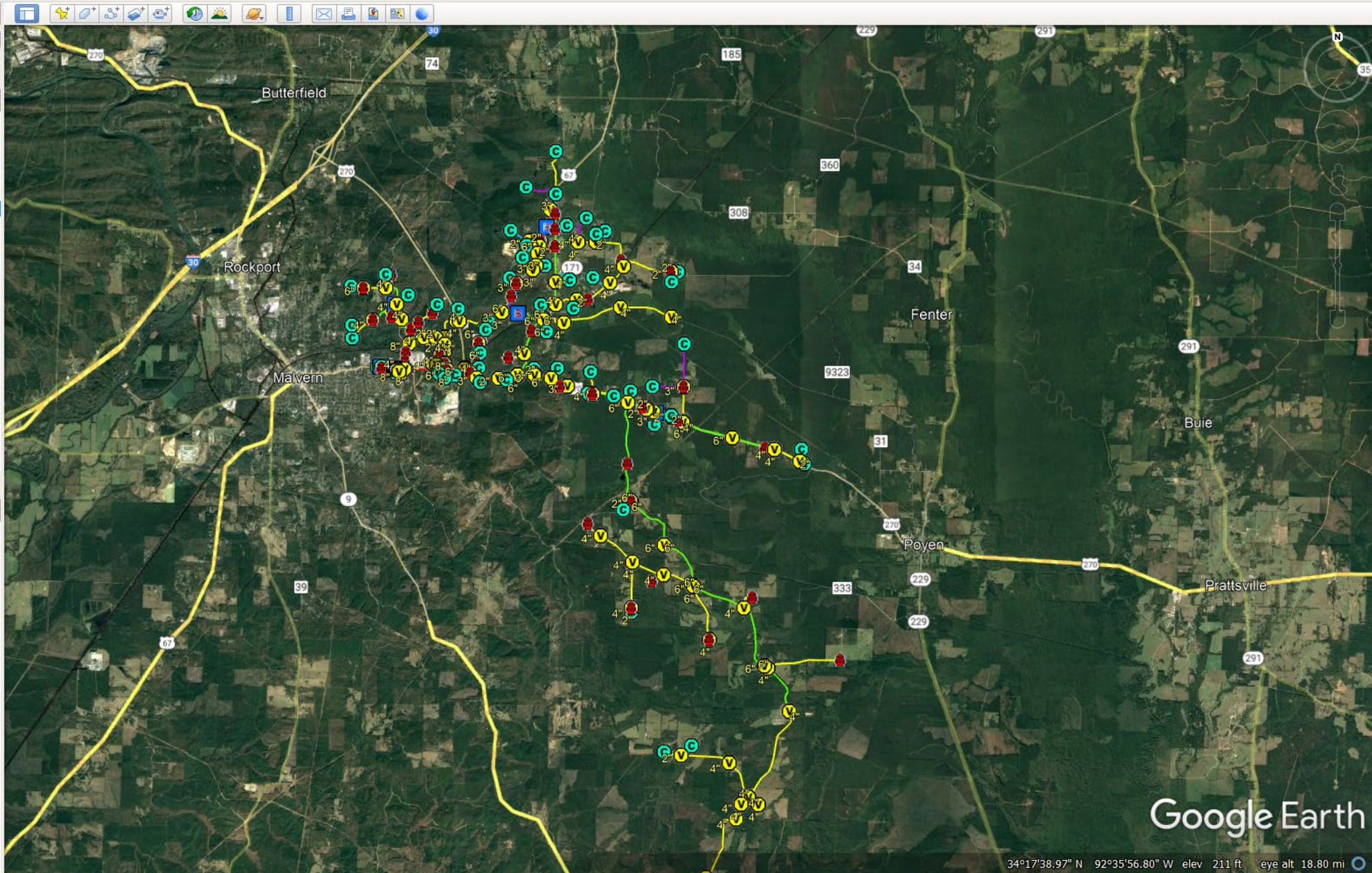
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Google Earth

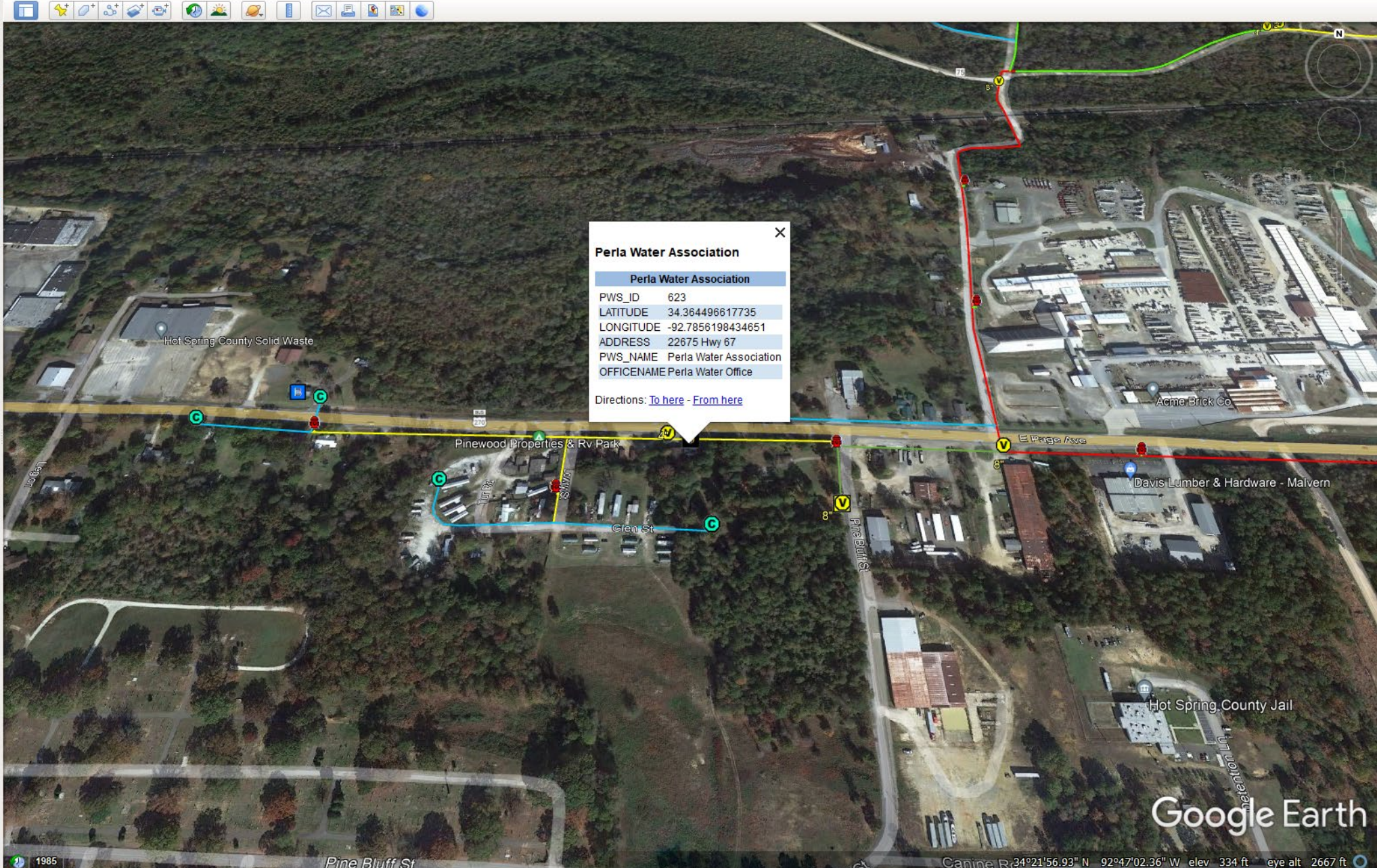
34°17'38.97" N 92°35'56.80" W elev 211 ft eye alt 18.80 mi

Search
ex: 37.407229, -122.107162
Get Directions History

- Places
- My Places
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Perla Water Association

Perla Water Association	
PWS_ID	623
LATITUDE	34.364496617735
LONGITUDE	-92.7856198434651
ADDRESS	22675 Hwy 67
PWS_NAME	Perla Water Association
OFFICENAME	Perla Water Office

Directions: [To here](#) - [From here](#)

Problems



- Some operators didn't trust the contractor
- Time consuming to collect GPS data in the field
(mostly relating to valves that were buried over the years)
- Operators had to stop for day-to-day duties
- Missing as-builts



Benefits



- Allowed a water operator to physically touch and see everything for the first time in years
- Operators would mark valves
- Newer operators were able to understand how the system worked
- They were proud of the large wall map they received
- For more technical users, the computer maps were more useful
 - Details were embedded – number of hydrants/valves, specific manufacturers and sizes, miles and sizes of distribution mains
- FREE to water systems!

Questions?

Teresa.Lee@arkansas.gov



Office of Drinking Water Capacity Development Funding

Barry E. Matthews, CPG



Planning & Design Grants

- Planning & Design Grants
 - Began with the first Intended Use Plan in 1997 – 15% set-aside funded
 - Have been very popular funding source (Construction Applications required PERs until 2017)
 - Have funded 2.2 million dollars since 2014
 - Number of grant applications fell off when Construction applications could fund PERs
 - Issued as a Request for Application

Planning & Design Grants

- \$35,000 maximum grant
- Local match of funds not required
- Applications - Year-round - Reset January 1
- Waterworks can submit up to 3, will fund up to 2
- If not immediately funded, reviewed in September
- Funding 6 - 8 Grants for each Fiscal Year
- Currently funding only Acute and Chronic Health Issues
- 15 Month project schedule
 - Starts after all paperwork is signed

Examples

- Preliminary Engineering Reports
 - DBPs (construction)
 - Treatment
 - Storage
 - Distribution System
 - Source (wells)
- Asset Management Plans
- Rate and Financial Studies
- Waterworks Business Operations Plans
- Climate Change Mitigation Studies
- Sustainability/Reliability Studies
- Leak Detection Study
- Other Planning for Water Systems

Procurement & Payment

- Virginia Procurement Act procedures must be followed
- No expenses reimbursed before proper procurement
- Preliminary Engineering Reports (PER)
 - Half costs reimbursed after submittal to Field Office
 - Second half reimbursed after approval

Funding Levels

- 2014 \$ 282,800
- 2015 \$ 330,800
- 2016 \$ 264,575
- 2017 \$ 491,150
- 2018 \$ 170,000
- 2019 \$ 295,000
- 2020 \$ 197,100
- 2021 \$ 175,000* Tentative amount based on applications

Chatham

The Town of Chatham in Pittsylvania County has been experiencing numerous leaks in their water system over the past few years, which have required complete system shut downs to repair. ODW will offer a Planning and Design Grant to develop a Preliminary Engineering Report assessing the distribution system.

This will allow the Town to determine logical steps in upgrading and repairing the system in a phased approach. ODW is encouraging the Town to develop an Asset Management and consider a rate study to move the Town toward solid sustainability.

Walkerton

Walkerton is a small village in King and Queen County. They operate a two well drinking water system. Walkerton has been required by the Virginia Department of Environmental Quality to abandon and replace their wells due to the wells being screened over multiple aquifers.

ODW will be offering Planning and Design funds to develop a Preliminary Engineering Report and plans for the abandonment and replacement of wells, along with engineering solutions for additional storage. ODW is evaluating using ARPA funds for construction.

Questions?

Barry E. Matthews, CPG

Division Director

Division of Training, Capacity Development and Outreach

Email: barry.matthews@vdh.virginia.gov (804) 477-5171

Links:

Planning Grant Applications

<https://www.vdh.virginia.gov/drinking-water/capacity-development/planning-and-design-fund/>



THANK YOU FOR ATTENDING! TIME FOR Q&A

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Teresa Lee
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Barry Matthews
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You may find additional drinking
water webinars and resources at
www.epa.gov/dwcapacity

**Please stay at the end to take a 5-
question survey**